

# Transcatheter or Surgical Aortic Valve Replacement in Intermediate Risk Patients with Aortic Stenosis:

Final Results from the PARTNER 2A Trial

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**Transcatheter or Surgical Aortic Valve  
Replacement in Intermediate Risk Patients  
with Aortic Stenosis:  
Final Results from the PARTNER 2A Trial**

**Craig R. Smith, MD**

on behalf of the PARTNER Trial Investigators

ACC 2016 | Chicago | April 2, 2016



**Presenter Disclosure Information  
for PARTNER 2A at ACC  
Chicago, IL; April 2, 2016**

**Craig R. Smith, MD**

PARTNER Trial sponsor (Edwards LifeSciences)  
reimburses customary travel and other expenses



# Background (1)



- In PARTNER 1, transcatheter aortic valve replacement (TAVR) was superior to standard therapy in patients with symptomatic severe aortic stenosis who were not candidates for surgery AND was equivalent to surgery in high-risk patients.

## The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

OCTOBER 21, 2010

VOL. 363 NO. 17

### Transcatheter Aortic-Valve Implantation for Aortic Stenosis in Patients Who Cannot Undergo Surgery

Martin B. Leon, M.D., Craig R. Smith, M.D., Michael Mack, M.D., D. Craig Miller, M.D., Jeffrey W. Moses, M.D., Lars G. Svensson, M.D., Ph.D., E. Murat Tuzcu, M.D., John G. Webb, M.D., Gregory P. Fontana, M.D., Raj R. Makkar, M.D., David L. Brown, M.D., Peter C. Block, M.D., Robert A. Guyton, M.D., Augusto D. Pichard, M.D., Joseph E. Bavaria, M.D., Howard C. Herrmann, M.D., Pamela S. Douglas, M.D., John L. Petersen, M.D., Jodi J. Akin, M.S., William N. Anderson, Ph.D., Duolao Wang, Ph.D., and Stuart Pocock, Ph.D., for the PARTNER Trial Investigators\*

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ESTABLISHED IN 1812

JUNE 9, 2011

VOL. 364 NO. 23

### Transcatheter and Surgical Aortic-Valve Replacement in High-Risk Patients

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## Background (2)



- However, early operator experiences using first generation TAVR systems resulted in frequent peri-procedural complications.
- Lower profile second generation TAVR systems have been associated with improved clinical outcomes.
- Recently, there has been a worldwide trend to extend TAVR therapy to lower-risk patients, but rigorous evidence-based medicine validation is lacking.

# Purpose



To compare the safety and effectiveness of the second generation SAPIEN XT TAVR system with conventional surgery in *intermediate-risk* patients using rigorous clinical trial methodologies.

# The PARTNER 2A Trial Study Design



**Symptomatic Severe Aortic Stenosis**

**ASSESSMENT by Heart Valve Team  
Operable (STS  $\geq$  4%)**

**Randomized Patients  
n = 2032**

**Yes**

**ASSESSMENT:  
Transfemoral Access**

**No**

**Transfemoral (TF)**

**Transapical (TA) / TransAortic (TAo)**

**1:1 Randomization (n = 1550)**

**1:1 Randomization (n = 482)**

**TF TAVR  
(n = 775)**

**vs.**

**Surgical AVR  
(n = 775)**

**TA/TAo TAVR  
(n = 236)**

**vs.**

**Surgical AVR  
(n = 246)**

**Primary Endpoint: All-Cause Mortality or Disabling Stroke at Two Years**

# The PARTNER 2A Trial

## Participating Sites



**2032 Randomized Pts**  
**55 US & 2 Canadian Sites**



# The PARTNER 2A Trial

## Top Enrolling Sites



<b>Columbia University</b> New York, NY Susheel Kodali & Mathew Williams	<b>206</b>	<b>Mayo Clinic</b> Rochester, MN Verghese Mathew & Kevin Greason	<b>53</b>
<b>Cedars-Sinai Medical Center</b> Los Angeles, CA Raj Makkar & Alfredo Trento	<b>205</b>	<b>Baylor Heart Hospital</b> Plano, TX William Brinkman & David Brown	<b>52</b>
<b>Emory University</b> Atlanta, GA Vinod Thourani & Vasilis Babaliaros	<b>149</b>	<b>Providence Heart &amp; Vascular Institute</b> Portland, OR Robert Hodson & Jeffrey Swanson	<b>52</b>
<b>University of Pennsylvania</b> Philadelphia, PA Howard Herrmann & Joseph Bavaria	<b>82</b>	<b>The Christ Hospital</b> Cincinnati, OH Dean Kereiakes & Thomas Ivey	<b>49</b>
<b>Medical City Dallas</b> Dallas, TX Bruce Bowers & Todd Dewey	<b>75</b>	<b>Intermountain Medical Ctr.</b> Murray, UT Brian Whisenant & Kent Jones	<b>49</b>
<b>Barnes Jewish / Washington University</b> St. Louis, MO Alan Zajarias & Hersh Maniar	<b>68</b>	<b>University of Virginia</b> Charlottesville, VA Irving Kron & Scott Lim	<b>48</b>
<b>Washington Hospital Center</b> Washington, DC Augusto Pichard & Paul Corso	<b>57</b>	<b>Scripps Green Hospital</b> La Jolla, CA Paul Teirstein & Scot Brewster	<b>42</b>
<b>Stanford University</b> Palo Alto, CA Craig Miller & Alan Yeung	<b>53</b>	<b>Brigham Women's Hospital</b> Boston, MA Ralph Bolman, III & Frederick G. Welt	<b>41</b>

# The PARTNER 2A Trial

## Study Administration



### Co-Principal Investigators

Martin B. Leon, Craig R. Smith  
Columbia University Medical Ctr, NYC

### Executive Committee

Martin B. Leon, Michael Mack,  
D. Craig Miller, Jeffrey W. Moses,  
Craig R. Smith, Lars G. Svensson,  
E. Murat Tuzcu, John G. Webb

### Data & Safety Monitoring Board

Chairman: Joseph P. Carrozza  
Caritas, St. Elizabeth Med Ctr, Boston  
Members: Blase Carabello, Andrew  
Wechsler, Eric Peterson  
Neurology: K. Michael Welch

### Clinical Events Committee

Chairman: Venu Menon  
Cleveland Clinic, C5 Research

### Echo Core Laboratory

Chairman: Wael A. Jaber  
Cleveland Clinic, C5 Research

### Quality of Life and Cost-Effectiveness

Chairman: David J. Cohen  
Mid America Heart Institute, Kansas City

### Independent Biostatistical Core Laboratory

Melissa Nichols  
Cardiovascular Research Foundation, NYC  
Eugene Blackstone  
Cleveland Clinic, Cleveland, OH

### Publications Office

Co-Located at Columbia-CRF and  
Cleveland Clinic: Director – Maria Alu

### Sponsor

Edwards Lifesciences

# Inclusion Criteria



- **Severe AS:** Echo-derived AVA  $\leq 0.8$  cm<sup>2</sup> (or AVA index  $< 0.5$  cm<sup>2</sup>/m<sup>2</sup>) and mean AVG  $> 40$  mm Hg or peak jet velocity  $> 4.0$  m/s
- **Cardiac Symptoms:** NYHA Functional Class  $\geq$  II
- **Intermediate Risk:**
  1. Determined by the multi-disciplinary Heart Team
  2. Using a guideline STS  $\geq 4\%$ , and
  3. Adjudicated by case review committee

# Key Exclusion Criteria



## *Anatomic:*

- Aortic annulus diameter  $< 18$  mm or  $> 27$  mm (echo or CT)
- Bicuspid AV or predominant AR ( $> 3+$ )
- Severe LV dysfunction (LVEF  $< 20\%$ )
- Untreated CAD requiring revascularization with either unprotected LM coronary disease or Syntax score  $> 32$
- Pre-existing surgical valve in any position

## *Clinical:*

- Serum Cr  $> 3.0$  mg/dL or dialysis dependent
- Acute MI within 1 month
- CVA or TIA within 6 months
- Hemodynamic instability
- Life expectancy  $< 24$  months

# PARTNER SAPIEN Platforms

## Device Evolution

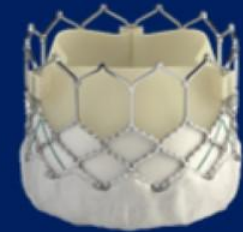
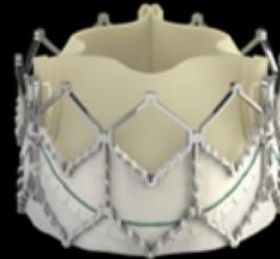
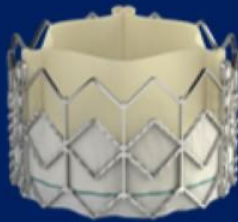


### SAPIEN

### SAPIEN XT

### SAPIEN 3

Valve Technology



Sheath Compatibility



Available Valve Sizes



23 mm

26 mm



23mm

26mm

29mm\*



20 mm

23 mm

26 mm

29 mm

**\*First Implant Oct 30, 2012**

# Primary Endpoint



- Non-hierarchical composite of *all-cause mortality or disabling stroke\* at two years*
- Intention-to-treat population is the primary analysis;
  - As-Treated (AT) population also a pre-specified, powered analysis
  - Transfemoral (TF) subgroup pre-specified
- All patients followed for at least 2 years
- Event rates by Kaplan-Meier estimates

\* Disabling stroke = CEC adjudicated stroke by a neurologist with a modified Rankin score of 2 or greater at 30 or 90-day evaluation

# Other Important Endpoints

## VARC 2 Definitions



### Safety

- Cardiac mortality
- Major vascular complications
- All strokes and TIAs
- Repeat hospitalizations
- Peri-procedural MIs
- Acute kidney injury
- Life-threatening or disabling bleeding
- New permanent pacemakers
- New onset atrial fibrillation
- Repeat AV intervention
- Endocarditis

### Efficacy

- NYHA class
- QOL instruments
- 6-minute walk test
- Days alive out-of-hospital
- ICU and index hospital LOS

### Echo Valve Performance

- Mean AV gradient
- Effective orifice area (and index)
- LV function (ejection fraction)
- Paravalvular regurgitation (PVR)

# Statistical Analysis Plan

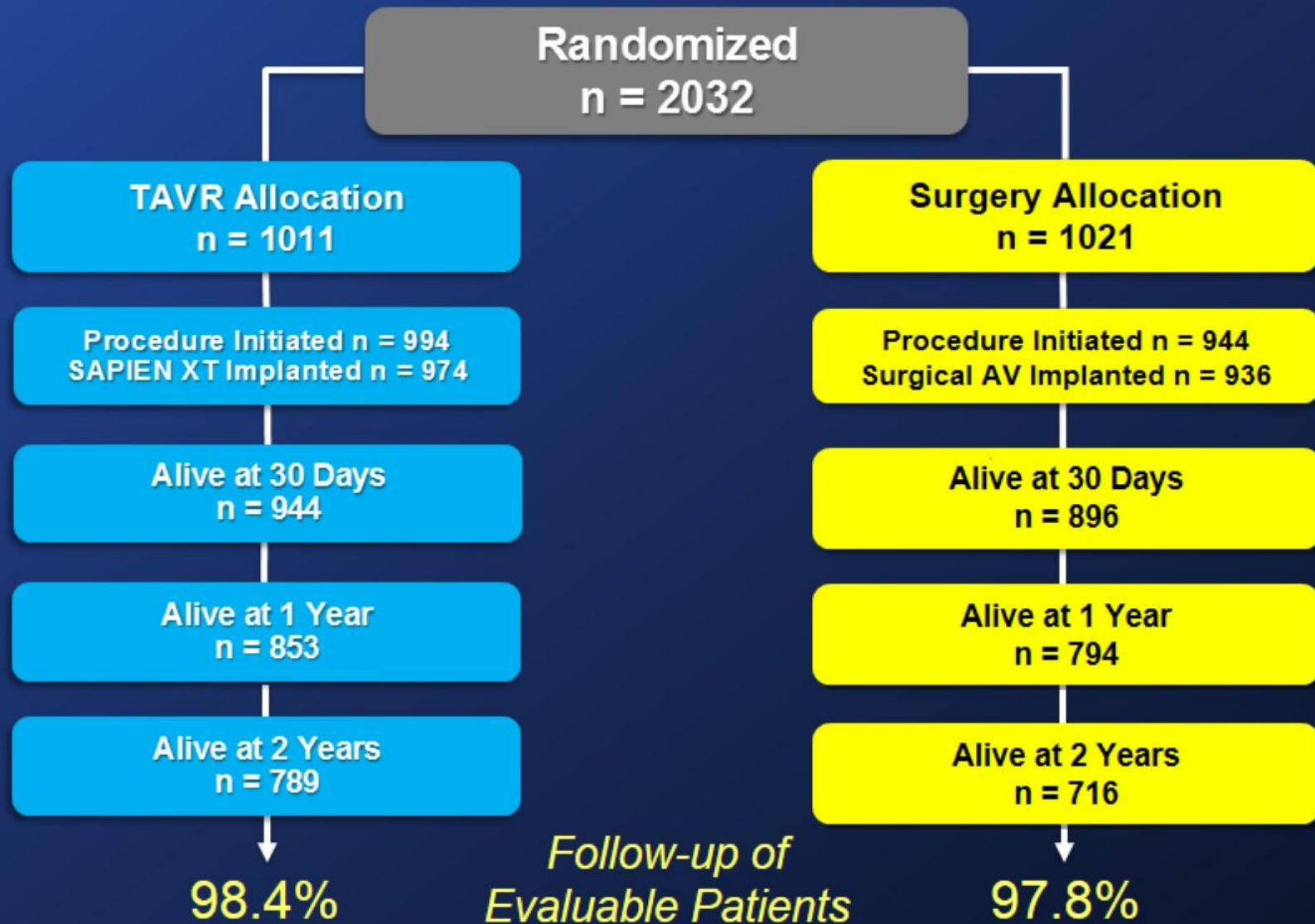


- *Primary hypothesis* is non-inferiority of test (SAPIEN XT) vs. control (surgery) for all-cause mortality or disabling stroke at 2 years (non-hierarchical)
- *Non-inferiority ratio*: 1.20
- *One-sided alpha*: 0.025
- *Assumptions* (for 1:1 randomization)
  - Event rate: 30% in both trial arms
  - Power: 80%
- *Sample size*: 1744 patients (adjusted to 2,000 patients to account for lost to follow-up and other trial contingencies)



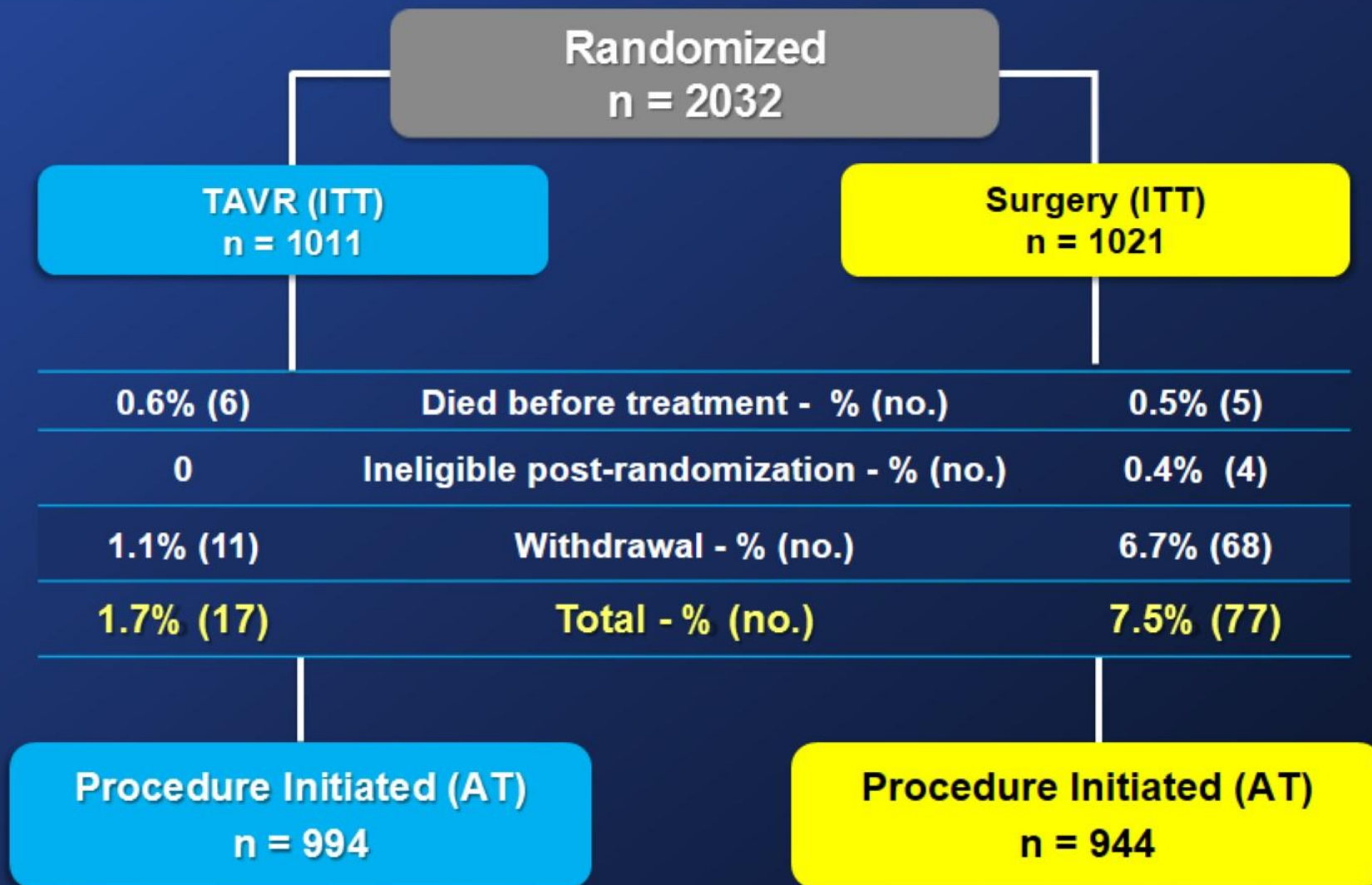
# Study Flow

## Vital Status



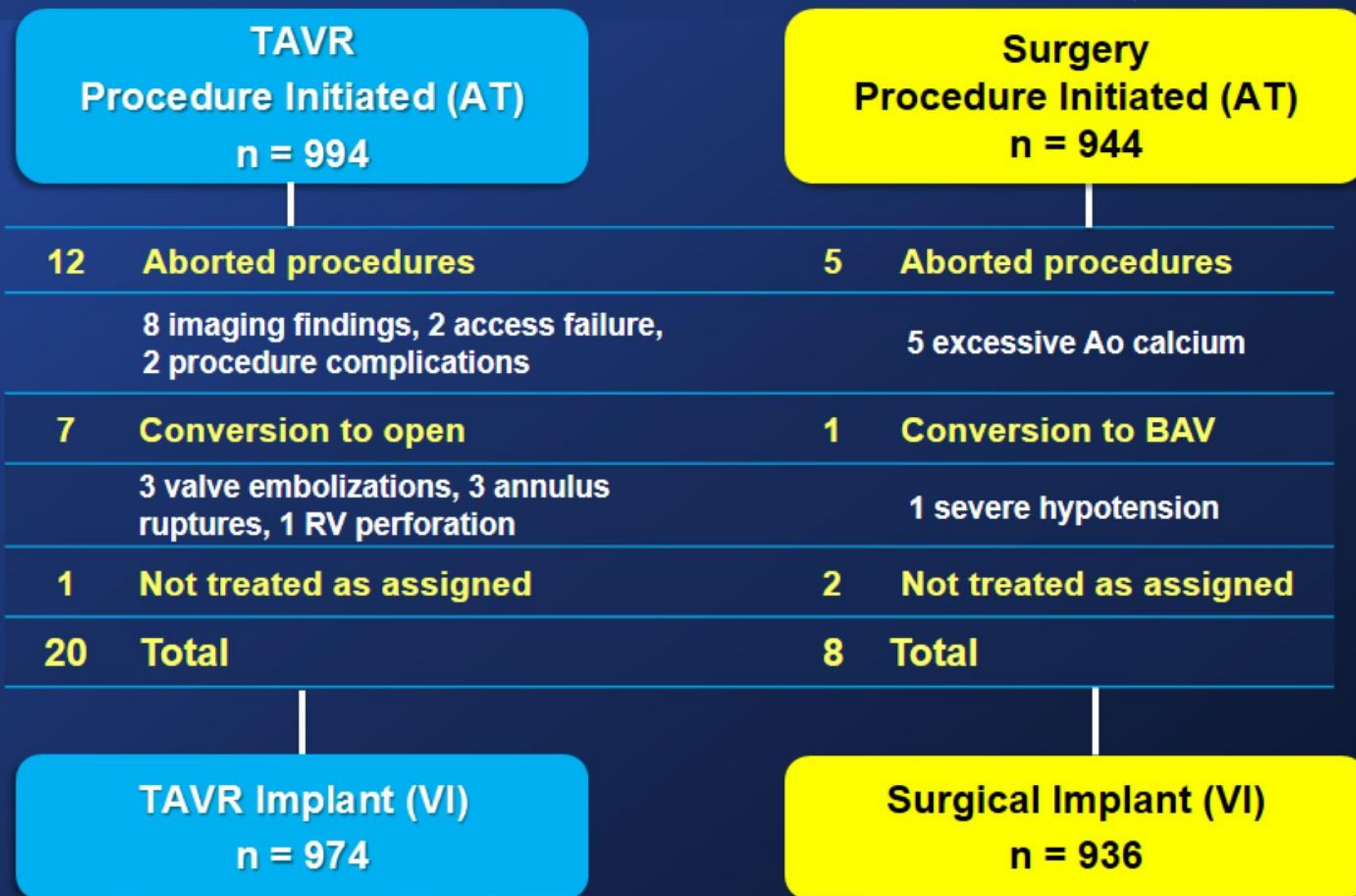
# Study Populations

## ITT to AT Patient Dropouts



# Study Populations

## AT to VI Procedural Events



# Baseline Patient Characteristics

## Demographics and Vascular Disease



<b>Characteristic</b>	<b>TAVR (n = 1011)</b>	<b>Surgery (n = 1021)</b>	<b>p-value</b>
Age - yrs	81.5 ± 6.7	81.7 ± 6.7	0.63
Male - %	54.2	54.8	0.79
STS Score - %	5.8 ± 2.1	5.8 ± 1.9	0.29
NYHA Class III or IV - %	77.3	76.1	0.53
CAD - %	69.2	66.5	0.20
Prior CABG - %	23.6	25.6	0.33
Cerebrovascular Disease - %	32.1	31.0	0.60
PVD - %	27.9	32.9	0.02

# Baseline Patient Characteristics

## Other Co-morbidities



<b>Characteristic (%)</b>	<b>TAVR (n = 1011)</b>	<b>Surgery (n = 1021)</b>	<b>p-value</b>
<b>Diabetes</b>	<b>37.7</b>	<b>34.2</b>	<b>0.11</b>
<b>COPD - Any</b>	<b>31.8</b>	<b>30.0</b>	<b>0.48</b>
<b>O<sub>2</sub> dependent</b>	<b>3.4</b>	<b>3.1</b>	<b>0.64</b>
<b>Creatinine &gt; 2 mg/dL</b>	<b>5.0</b>	<b>5.2</b>	<b>0.92</b>
<b>Atrial Fibrillation</b>	<b>31.0</b>	<b>35.2</b>	<b>0.05</b>
<b>Permanent Pacemaker</b>	<b>11.7</b>	<b>12.0</b>	<b>0.84</b>
<b>Frailty (15 ft walk &gt; 7 s)</b>	<b>44.4</b>	<b>46.4</b>	<b>0.43</b>
<b>Liver Disease</b>	<b>1.9</b>	<b>2.5</b>	<b>0.37</b>

# Baseline Patient Characteristics

## Echocardiography Findings



Characteristic	TAVR (n = 1011)	Surgery (n = 1021)	p-value
Aortic Valve Area - cm <sup>2</sup>	0.70 ± 0.2	0.69 ± 0.2	0.06
Mean Gradient - mmHg	44.9 ± 13.4	44.6 ± 12.5	0.82
LV Ejection Fraction - %	56.2 ± 10.8	55.3 ± 11.9	0.48
LV Mass Index - g/m <sup>2</sup>	119.8 ± 31.5	120.6 ± 32.6	0.74
Mod-Severe MR - %	16.8	19.1	0.22
Aortic Regurgitation - %			0.52
Mild	40.6%	42.5%	
Mod-Severe	11.2%	12.0%	

Mean ± SD

# Procedural Characteristics (AT)



THE  
PARTNER II  
TRIAL

Characteristic	TAVR (n = 994)	Surgery (n = 944)	p-value
Anesthesia Time (min)	207	333	< 0.001
Procedure Time (min)	103	237	< 0.001
Fluoroscopy Time (min)	20	NA	NA
Aortic Cross-clamp Time (min)	NA	75	NA
Total CPB Time (min)	NA	104	NA
Median ICU Stay (days)	2.0 [2, 4]	4.0 [3, 6]	< 0.001
Median Total Length of Stay (days)	6.0 [4, 9]	9.0 [8, 14]	< 0.001

Median [IQR]

# Procedural Complications (AT)



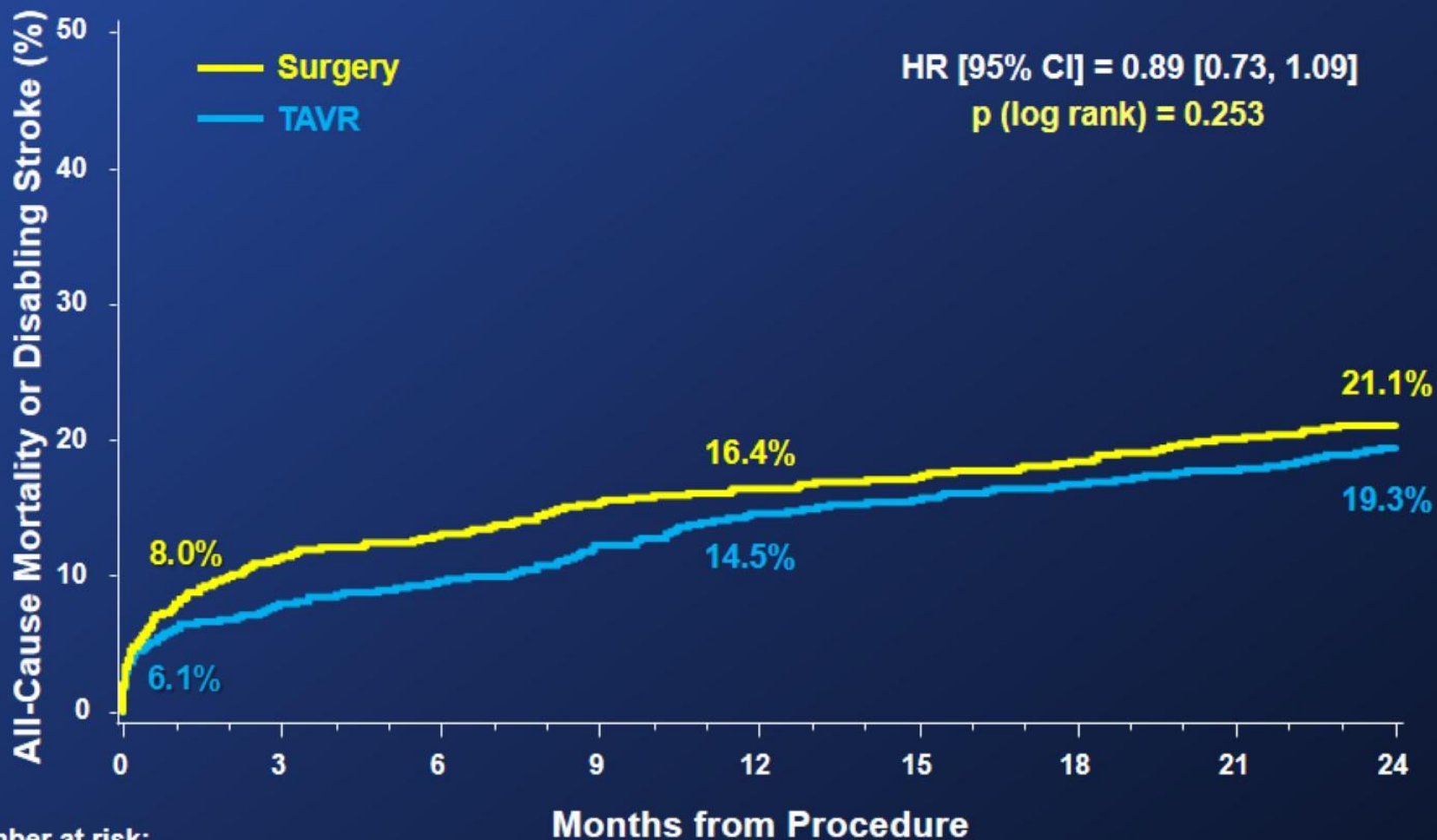
<b>Complication</b>	<b>TAVR (n = 994)</b>	<b>Surgery (n = 944)</b>
<b>Procedural deaths (0-3 days)</b>	<b>12 (1.2%)</b>	<b>10 (1.1%)</b>
<b>≥ 2 transcatheter valves*</b>	<b>26 (2.6%)</b>	<b>NA</b>
<b>Valve embolization</b>	<b>10 (1.0%)</b>	<b>NA</b>
<b>Annular rupture</b>	<b>3 (0.3%)</b>	<b>NA</b>
<b>Coronary obstruction</b>	<b>4 (0.4%)</b>	<b>6 (0.6%)</b>
<b>Access site infections</b>	<b>15 (1.2%)</b>	<b>12 (1.3%)</b>

\* Valve-in-valve (22) or with valve embolization (4)



# Primary Endpoint (ITT)

## All-Cause Mortality or Disabling Stroke



Number at risk:

	0	3	6	9	12	15	18	21	24
Surgery	1021	838	812	783	770	747	735	717	695
TAVR	1011	918	901	870	842	825	811	801	774

# Primary Endpoint (ITT)

## All-cause Mortality or Disabling Stroke



**TAVR**  
n = 1011  
19.3%

**SAVR**  
n = 1021  
21.1%

Relative Risk Ratio 0.92  
Upper 1-sided 97.5%CI 1.09

Non-Inferiority  
p-value = 0.001

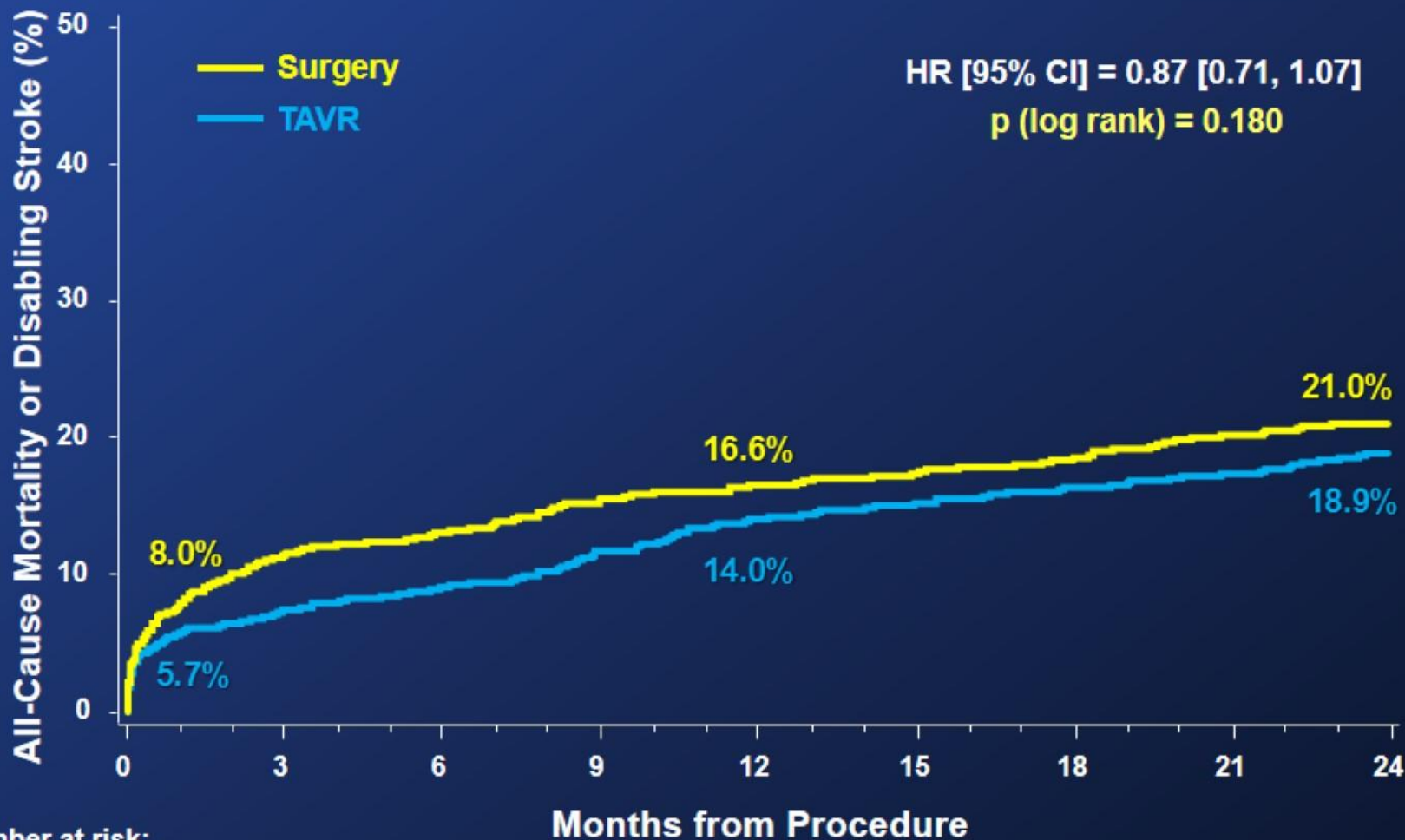
Pre-specified non-inferiority margin = 1.2



**Primary Non-Inferiority Endpoint Met**

# Primary Endpoint (AT)

## All-Cause Mortality or Disabling Stroke



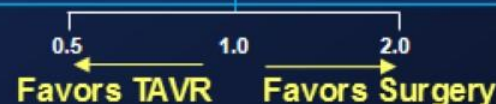
Number at risk:

	0	3	6	9	12	15	18	21	24
Surgery	944	826	807	779	766	743	731	715	694
TAVR	994	917	900	870	842	825	811	801	774

# Primary Endpoint Subgroup Analysis

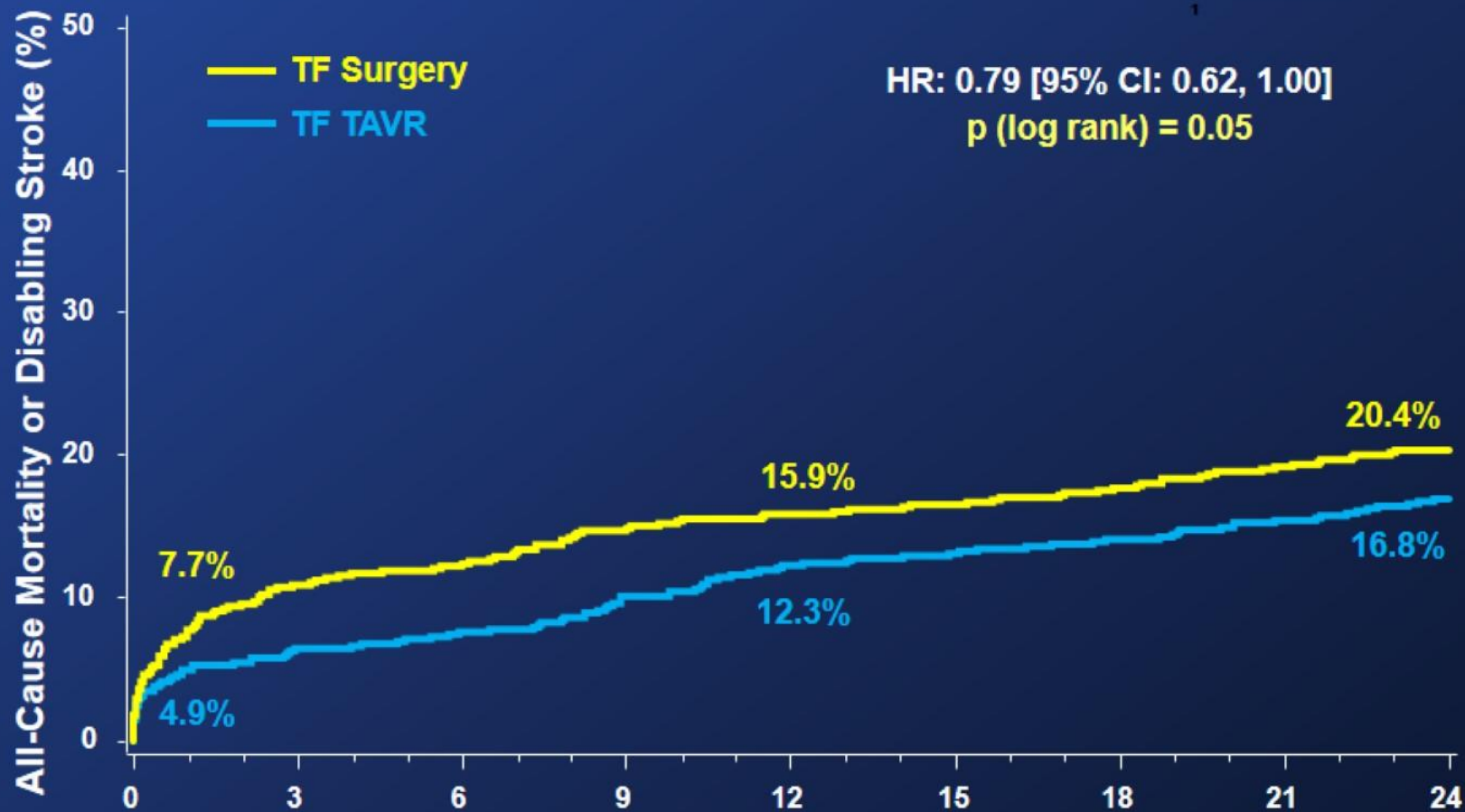


Subgroup	TAVR (%) n = 1011	AVR (%) n = 1021	Hazard Ratio (95% CI)	HR (95% CI)	p-value for interaction
<b>Overall</b>	19.3	21.1		0.89 [0.73-1.09]	
<b>Age</b>					
< 85	18.0	19.5		0.90 [0.69-1.17]	<b>0.96</b>
≥ 85	21.5	23.6		0.89 [0.65-1.20]	
<b>Sex</b>					
Female	16.9	20.3		0.81 [0.59-1.10]	<b>0.37</b>
Male	21.4	21.7		0.96 [0.74-1.25]	
<b>STS Score</b>					
≤ 5	15.8	18.4		0.84 [0.61-1.16]	<b>0.60</b>
> 5	22.4	23.1		0.94 [0.73-1.21]	
<b>LV Ejection Fraction</b>					
≤ 55	19.1	21.5		0.84 [0.56-1.25]	<b>0.27</b>
> 55	20.1	18.0		1.11 [0.81-1.53]	
<b>Mod or Severe Mitral Regurgitation</b>					
No	17.8	20.3		0.85 [0.67-1.08]	<b>0.53</b>
Yes	25.9	24.4		1.00 [0.64-1.57]	
<b>Previous CABG</b>					
No	20.6	22.2		0.91 [0.73-1.13]	<b>0.69</b>
Yes	15.3	18.0		0.82 [0.53-1.27]	
<b>Peripheral Vascular Disease</b>					
No	18.2	20.7		0.85 [0.67-1.09]	<b>0.47</b>
Yes	22.3	22.0		0.99 [0.71-1.40]	
<b>15 Foot Walk Test</b>					
≤ 7 secs	17.7	20.9		0.82 [0.62-1.09]	<b>0.43</b>
> 7 secs	20.7	20.8		0.97 [0.71-1.31]	
<b>Access Route</b>					
Transfemoral	16.8	20.4		0.79 [0.62-1.00]	<b>0.06</b>
Transsthoracic	27.7	23.4		1.21 [0.84-1.74]	



# TF Primary Endpoint (ITT)

## All-cause Mortality or Disabling Stroke

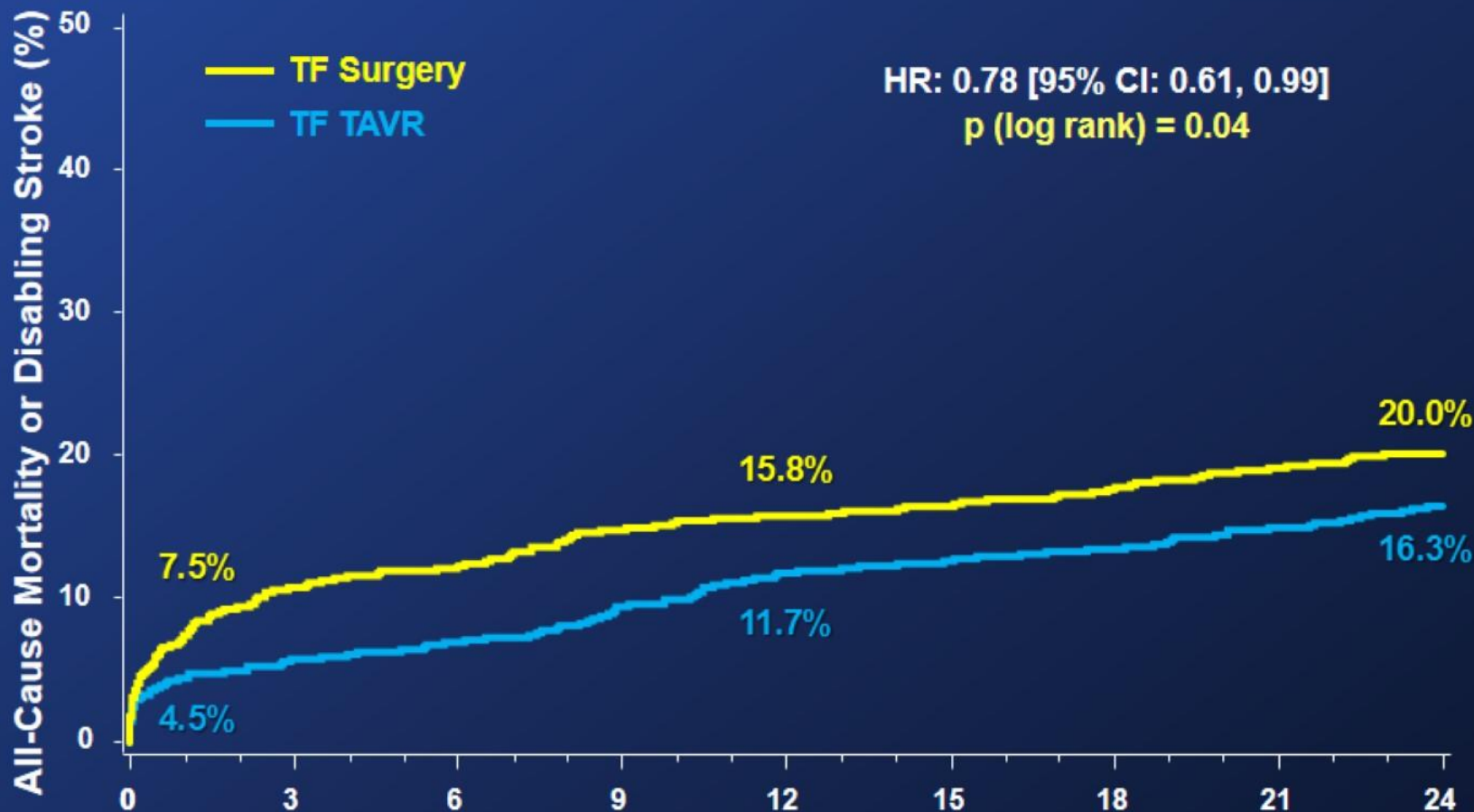


Number at risk:

	0	3	6	9	12	15	18	21	24
TF Surgery	775	643	628	604	595	577	569	557	538
TF TAVR	775	718	709	685	663	652	644	634	612

# TF Primary Endpoint (AT)

## All-Cause Mortality or Disabling Stroke



Number at risk:

	0	3	6	9	12	15	18	21	24
TF Surgery	722	636	624	600	591	573	565	555	537
TF TAVR	762	717	708	685	663	652	644	634	612

# Primary Endpoint Events (ITT)

## At 30 Days and 2 Years



Events (%)	30 Days			2 Years		
	TAVR (n = 1011)	Surgery (n = 1021)	p-value*	TAVR (n = 1011)	Surgery (n = 1021)	p-value*
<b>Death (all-cause) and Stroke (disabling)</b>	6.1	8.0	0.11	19.3	21.1	0.33
<b>Death</b>						
All-cause	3.9	4.1	0.78	16.7	18.0	0.45
Cardiovascular	3.3	3.2	0.92	10.1	11.3	0.38
<b>Neurological Events</b>						
All Stroke	5.5	6.1	0.57	9.5	8.9	0.67
Disabling Stroke	3.2	4.3	0.20	6.2	6.4	0.83
TIA	0.9	0.4	0.17	3.7	2.3	0.09

\*Event rates are KM estimates, p-values are point in time

# Other Clinical Endpoints (ITT)

## At 30 Days and 2 Years



Events (%)	30 Days			2 Years		
	TAVR (n = 1011)	Surgery (n = 1021)	p-value*	TAVR (n = 1011)	Surgery (n = 1021)	p-value*
Rehospitalization	6.5	6.5	0.99	19.6	17.3	0.22
MI	1.2	1.9	0.22	3.6	4.1	0.56
Major Vascular Complications	7.9	5.0	0.008	8.6	5.5	0.006
Life-Threatening / Disabling Bleeding	10.4	43.4	<0.001	17.3	47.0	<0.001
AKI (Stage III)	1.3	3.1	0.006	3.8	6.2	0.02
New Atrial Fibrillation	9.1	26.4	<0.001	11.3	27.3	<0.001
New Permanent Pacemaker	8.5	6.9	0.17	11.8	10.3	0.29
Re-intervention	0.4	0.0	0.05	1.4	0.6	0.09
Endocarditis	0.0	0.0	NA	1.2	0.7	0.22

\*Event rates are KM estimates, p-values are point in time

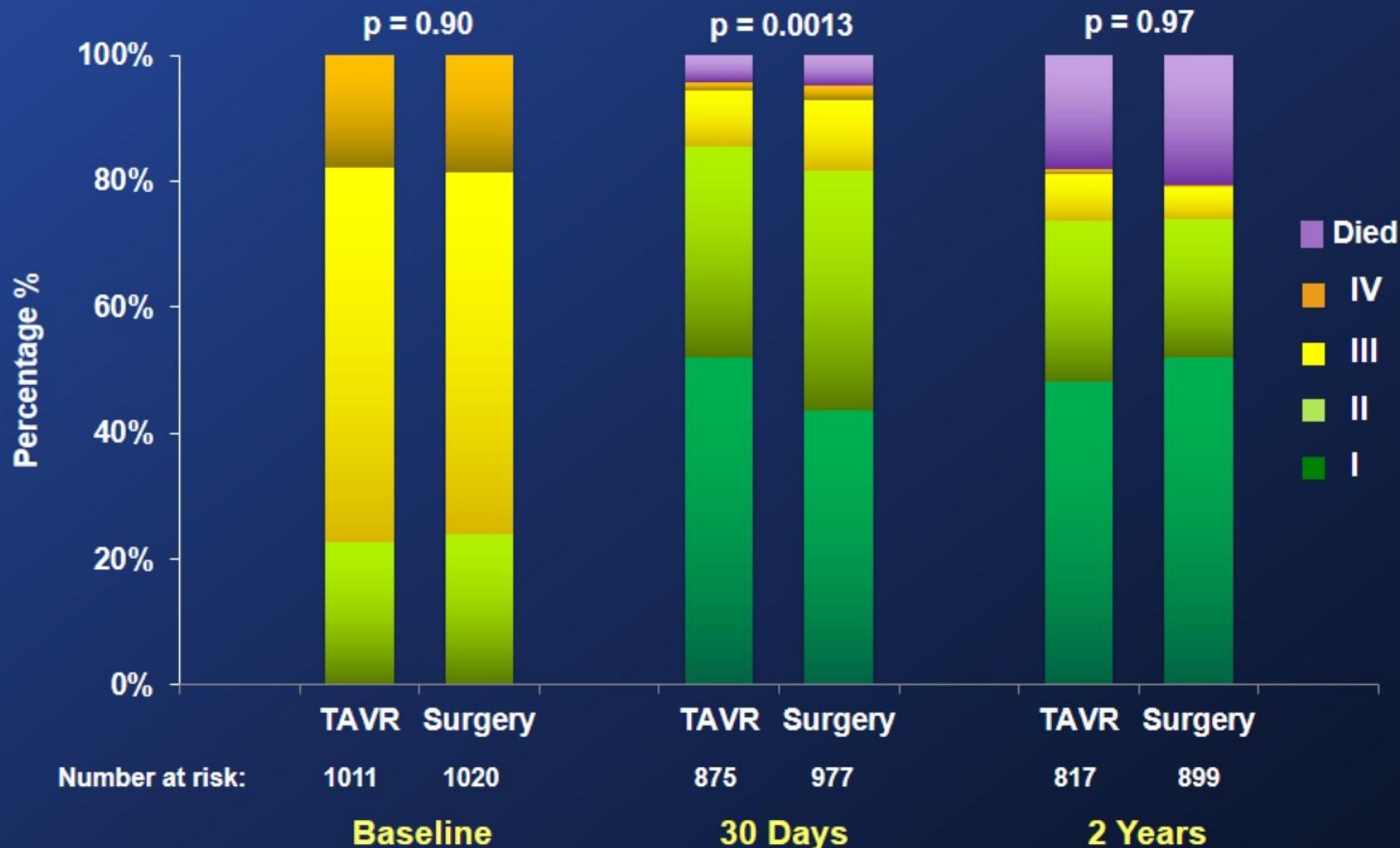


# NYHA Class (ITT)

## All Patients

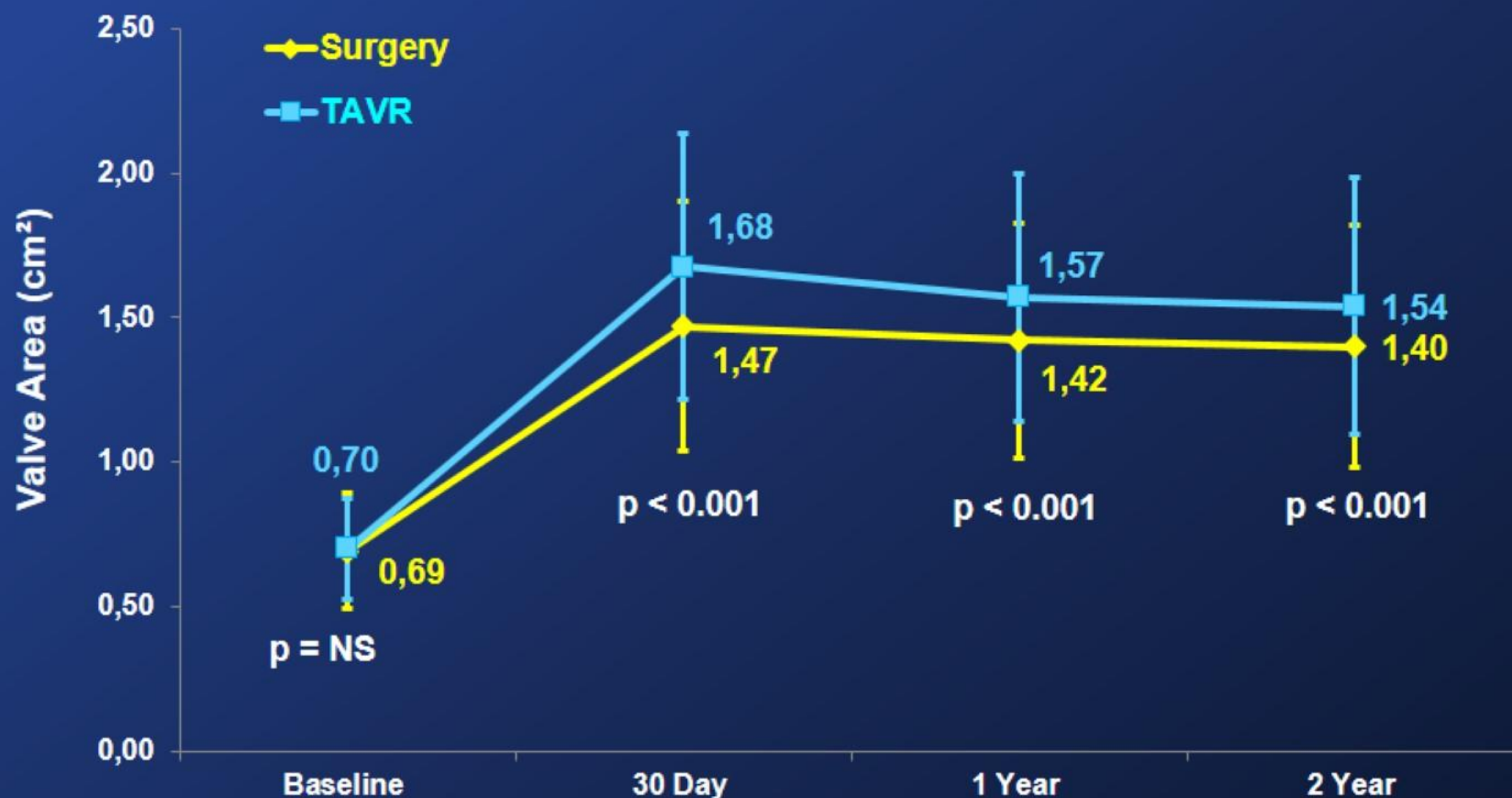


All  $p < 0.001$  for change from baseline to each time point



# Echocardiography Findings (VI)

## Aortic Valve Area



No. of Echos

**Surgery**

861

727

590

488

**TAVR**

899

829

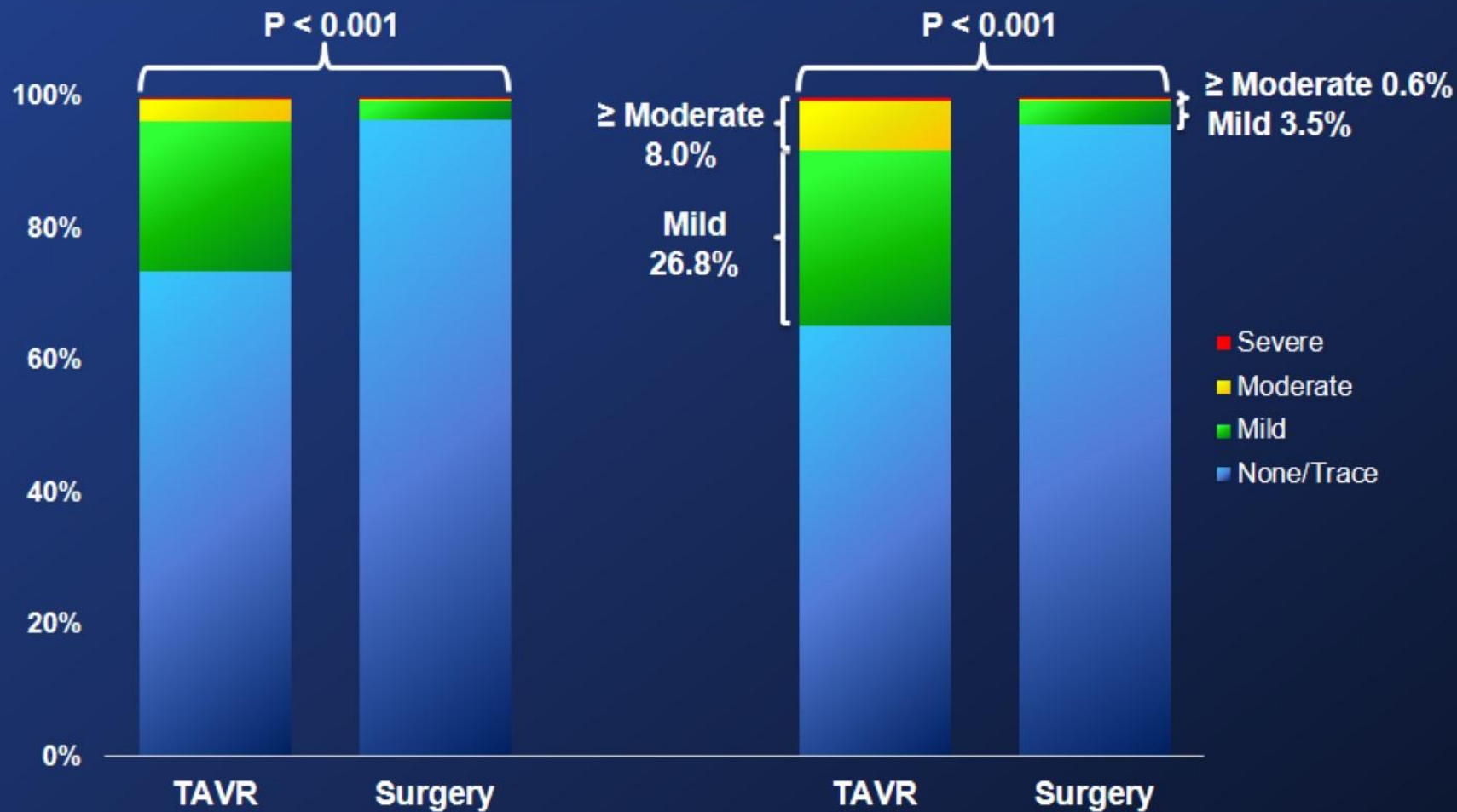
695

567

Error bars represent  $\pm$  Standard Deviation

# Paravalvular Regurgitation (VI)

## 3-Class Grading Scheme



No. of echos

30 Days

2 Years

TAVR

872

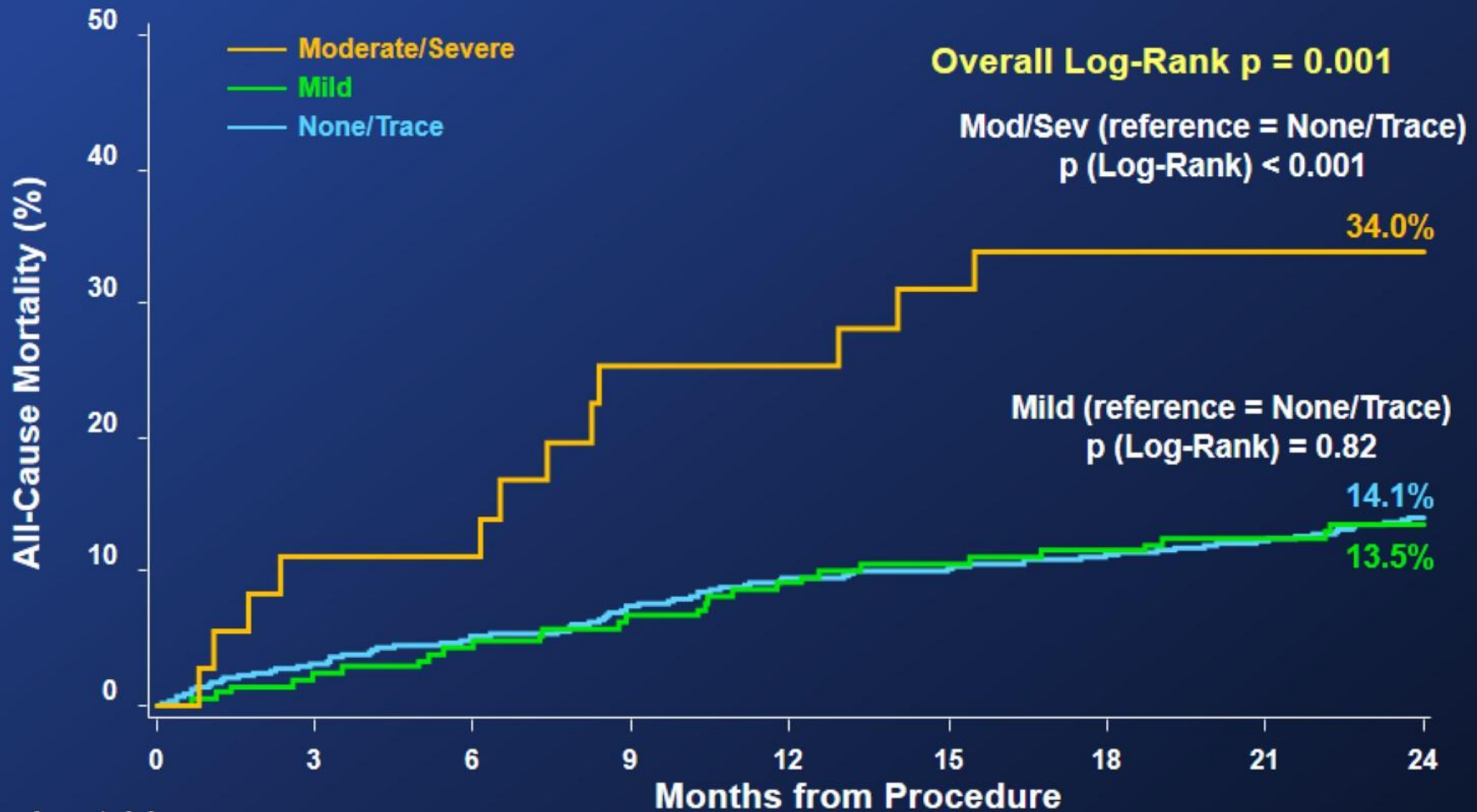
600

Surgery

757

514

# Severity of PVR at 30 Days and All-cause Mortality at 2 Years (VI)



**Number at risk:**

	0	3	6	9	12	15	18	21	24
Moderate/Sev	36	32	32	26	26	24	22	22	21
Mild	210	204	199	194	188	184	182	180	175
None/Trace	701	678	664	647	628	621	612	605	585

# The PARTNER 2A Trial

## Conclusions (1)



*In intermediate-risk patients with symptomatic severe aortic stenosis, results from the PARTNER 2A trial demonstrated that...*

- TAVR using SAPIEN XT and surgery were similar (non-inferior) for the primary endpoint (all-cause mortality or disabling stroke) at 2 years.
- In the transfemoral subgroup (76% of patients), TAVR using SAPIEN XT significantly reduced all-cause mortality or disabling stroke vs. surgery (ITT:  $p = 0.05$ , AT:  $p = 0.04$ ).

# The PARTNER 2A Trial

## Conclusions (2)



- Other clinical outcomes:
  - TAVR reduced AKI, severe bleeding, new AF, and LOS
  - Surgery reduced vascular complications and PVR
- The SAPIEN XT valve significantly increased echo AVA compared to surgery.
- In the SAPIEN XT TAVR cohort, moderate or severe PVR, but not mild PVR, was associated with increased mortality at 2 years.

# The PARTNER 2A Trial

## Clinical Implications



- *The results from PARTNER 2A support the use of TAVR as an alternative to surgery in intermediate risk patients, similar to those included in this trial.*
- In patients who are candidates for transfemoral access, TAVR may result in additional clinical advantages.
- Long-term durability assessments of transcatheter bioprosthetic valves are still lacking and extrapolation of these findings to low-risk patients requires further clinical trial validation.

# The PARTNER 2A Trial

## NEJM On-line



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ORIGINAL ARTICLE

## Transcatheter or Surgical Aortic-Valve Replacement in Intermediate-Risk Patients

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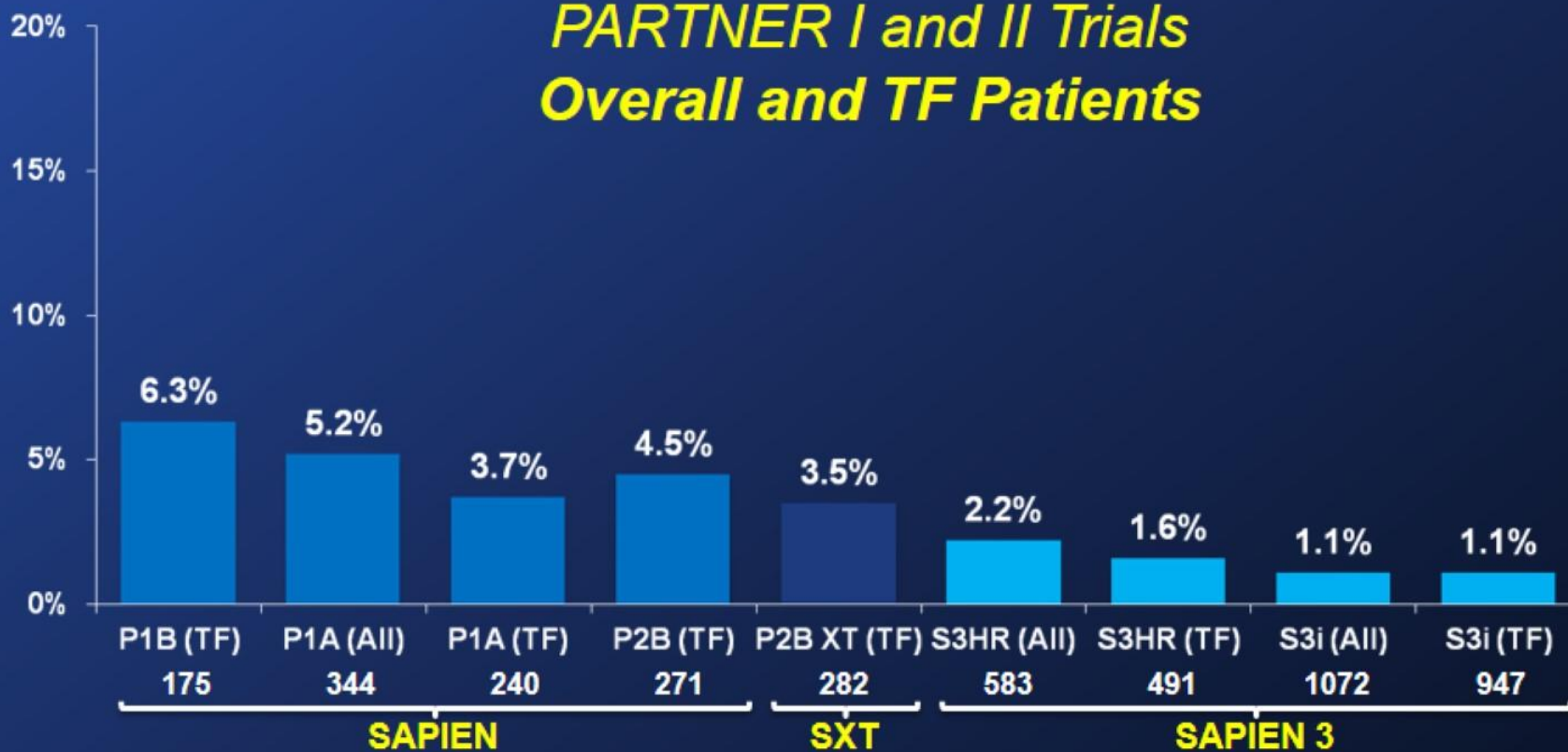


# All-Cause Mortality at 30 Days

## Edwards SAPIEN Valves (As Treated Patients)

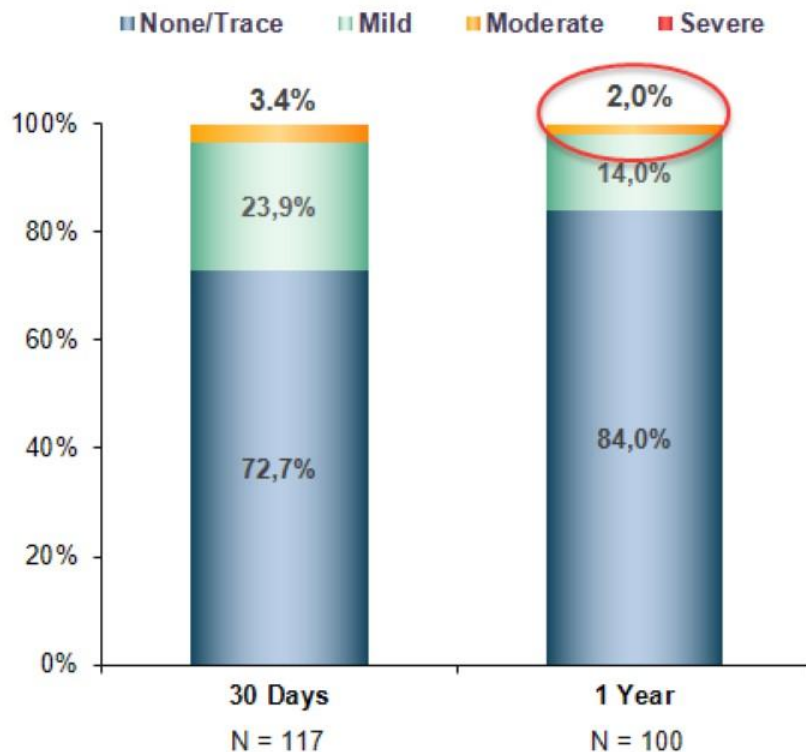


### *PARTNER I and II Trials Overall and TF Patients*

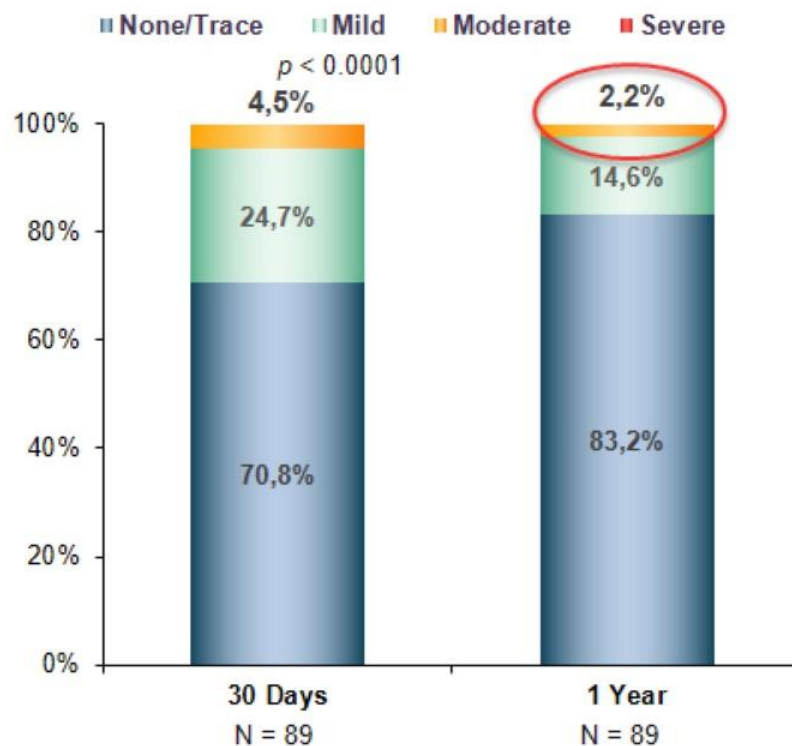


# Paravalvular AR at 30 Days and 1 Year VI Population

ALL PATIENTS



PAIRED ANALYSIS



Děkuji za pozornost

